



UNITED STATES PATENT AND TRADEMARK OFFICE

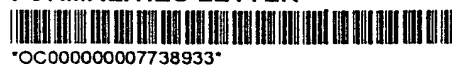
COPY OF PAPERS  
ORIGINALLY FILEDCOMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/057,301	03/28/2002	Mark Chasin	207.1300US

23280  
DAVIDSON, DAVIDSON & KAPPEL  
485 SEVENTH AVENUE, 14TH FLOOR  
NEW YORK, NY 10018RECEIVED  
APR 02 2002

CONFIRMATION NO. 3895

FORMALITIES LETTER



\*OC000000007738933\*

DAVIDSON, DAVIDSON &amp; KAPPEL

Date Mailed: 03/28/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$2070.
  - \$1314 for 73 total claims over 20.
  - \$756 for 9 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2940.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

06/07/2002 WARRHAM 00000066 10057301

01 FC:101	740.00	OP
02 FC:103	1314.00	OP
03 FC:102	756.00	OP
04 FC:105	130.00	OP

FORM PTO-1083

ASSISTANT COMMISSIONER FOR PATENTS  
Washington, DC 20231



Receiv  
Docket No.: 2071300 US  
Date: May 24, 2002

**COPY OF PAPERS  
ORIGINALLY FILED**

In re application of: Mark Chasin, et al.  
Serial No.: 10/057,301  
Filed: January 25, 2002  
For: LOCAL ANESTHETIC AND METHOD OF USE

Sir:

Transmitted herewith is a **RESPONSE TO MISSING PARTS** in the above-identified application.

- ☐ Small entity status under 37 C.F.R. 1.9 and 1.27 has been previously established.  
☐ Applicants assert small entity status under 37 C.F.R. 1.9 and 1.27.  
☐ No fee for additional claims is required.  
☒ A filing fee for additional claims calculated as shown below, is required:

FOR:	(Col. 1)		(Col. 2)		SMALL ENTITY		OR	LARGE ENTITY	
	REMAINING	HIGHEST	PREVIOUSLY	PRESENT	RATE	FEE		RATE	FEE
	AFTER		PAID FOR	EXTRA					
TOTAL CLAIMS	93 Minus 20	=	73		x \$ 9	\$		x \$ 18	\$ 1314.00
INDEP. CLAIMS	12 Minus 3	=	9		x \$ 42	\$		x \$ 84	\$ 756.00
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM					+	\$140	\$	+	\$280

TOTAL: \$ OR TOTAL: \$2,070.00

- \* If the entry in Co. 1 is less than the entry in Col. 2, write "0" in Col. 3.  
\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.  
\*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.

- ☒ Also transmitted herewith are:  
☐ Petition for extension under 37 C.F.R. 1.136 (in duplicate)  
☒ Other: **REQUEST FOR CORRECTED FILING RECEIPT**
- ☒ Check(s) in the amount of **\$2,940.00** is/are attached to cover:  
☒ Filing fee for additional claims under 37 C.F.R. 1.16  
☐ Petition fee for extension under 37 C.F.R. 1.136  
☒ Other: **- Filing Fee**  
**- Late Filing Fee Surcharge**
- ☒ The Assistant Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0552.
- ☒ Any filing fee under 37 C.F.R. 1.16 for the presentation of additional claims which are not paid by check submitted herewith.
- ☒ Any patent application processing fees under 37 C.F.R. 1.17.
- ☒ Any petition fees for extension under 37 C.F.R. 1.136 which are not paid by check submitted herewith, and it is hereby requested that this be a petition for an automatic extension of time under 37 CFR 1.136.

Robert J. Paradiso, Reg. No. 41,240  
DAVIDSON, DAVIDSON & KAPPEL, LLC  
485 Seventh Avenue, 14<sup>th</sup> Floor  
New York, New York 10018  
Tel: (212) 736-1940  
Fax: (212) 736-2427

I hereby certify that this correspondence and/or documents referred to as attached therein and/or fee are being deposited with the United States Postal Service as "first class mail" in an envelope addressed to "Assistant Commissioner for Patents, Washington, D C 20231" on May 24, 2002

DAVIDSON, DAVIDSON & KAPPEL, LLC

BY

Richard V. Zundani

207.1300 US

**UNITED STATES PATENT & TRADEMARK OFFICE**



Re: Application of: Mark Chasin, et al.

Serial No.: 10/057,301

Filed: January 25, 2002

For: **LOCAL ANESTHETIC AND METHOD OF USE**

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ORIGINALLY FILED**

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

**BOX: MISSING PARTS**

Assistant Commissioner for Patents  
Washington, D.C. 20231

May 24, 2002

Sir:

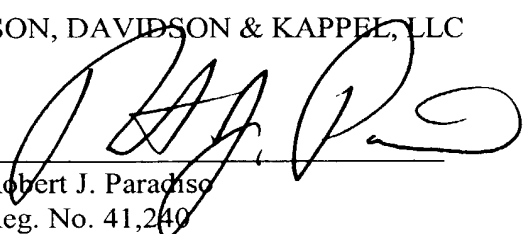
In response to the Notification to file Missing Parts dated March 28, 2002, a copy of which is enclosed, please find a copy of the Declaration and Power of Attorney document (8pages); substitute drawings (57 pages) and a check in the amount of \$ 2,940.00 covering the basic filing fee of \$740.00, the additional claims fee of \$2070.00 and the late filing fee of \$130.00.

If any additional fees are deemed to be due at this time, the Assistant Commissioner is authorized to charge said fees or credit any overpayment to Deposit Account No. 50-0552.

Respectfully submitted,

DAVIDSON, DAVIDSON & KAPPEL, LLC

By

  
Robert J. Paradiso  
Reg. No. 41,240

Davidson, Davidson & Kappel, LLC  
485 Seventh Avenue, 14<sup>th</sup> Floor  
New York, New York 10018  
(212) 736-1940